Docket No.

244079US2/phh

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Kyesan LEE, et al.

SERIAL NO:

10/686,560

GAU:

2661

FILED:

October 17, 2003

EXAMINER:

FOR:

TRANSMITTER DEVICE AND TRANSMITTING METHOD USING OFDM AND MC-CDMA

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Marvin J. Spivak // Registration No. 24,913

Joseph A. Scafetta, Jr. Registration No. 26, 803

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03) Docket No.

244079US2/phh

IN THE UNITE ENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Kyesan LEE, et al.

SERIAL NO:

10/686,560

GAU:

2661

FILED:

October 17, 2003

EXAMINER:

FOR:

TRANSMITTER DEVICE AND TRANSMITTING METHOD USING OFDM AND MC-CDMA

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Marvin J. Spivak

Registration No. 24,913

Joseph A. Scafetta, Jr. Registration No. 26, 803

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

SHEET 1 OF 1

Form PTO 1449		U.S. DEPARTMENT PATENT AND TRAD	E COMMERCE	ATTY DOCKET NO.		SERIAL NO.		
(Modified)		PATENT AND TRADEMARK OFFICE		244079US2	10/686,560			
				APPLICANT				
LIST OF REFERENCES CITED BY APPLICANT Kyesan LEE, et al.								
				FILING DATE	GROUP			
				October 17, 2003	2661			
				U.S. PATENT DOCUMENTS				
EXAMINER		DOCUMENT		T	T	SUB		FILING DATE
INITIAL		NUMBER	DATE	NAME	CLASS	CLASS		APPROPRIATE
	AA							
	AB							
	AC							
	AD							, " -
	AE							
	AF				- 			
	AG							
	AH				1			
	Al							
	AJ							·
	AK							
	AL				-			
	AM				-			
	AN		l	L		L J.		
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	NTRY		TRAN	ISLATION NO
	AO	1 130 840	09/05/2001	EUROPE				
	AP	2 384 958	08/06/2003	GREAT BRITAIN				
	AQ	1 221 778	07/10/2002	EUROPE				
	AR	WO 03/052983	06/26/2003	WIPO				
	AS	1 223 700	07/17/2002	EUROPE (with English Abstract)				Х
	AT	1 107 473	06/13/2001	EUROPE				
	AU							
	AV	J-2-71						
		OTUED F	EFFRENCES	//maluding Author Title Date Bortin	ont Boson	oto \		
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)								
	AW	H. ATARASHI, et al., Proc. of Third International Workshop on Multi-Carrier Spread Spectrum & Related Topics, pages 113-122, "VARIABLE SPREADING FACTOR ORTHOGONAL FREQUENCY AND CODE DIVISION MULTIPLEXING (VSF-OFCDM)", September 2001						
	AX							
	AY							
	AZ			Additional References sheet(s) atta				sheet(s) attached
Examiner					Date Considered			
				t citation is in conformance with MPEP mmunication to applicant.	609; Draw li	ne through	citation	if not in conformance

APR 2 9 2004 6